

Shareholding pattern filed under Regulation 31(1)(b) as on 30th September 2021

1. Name of Listed Entity:NEWGEN SOFTWARE TECHNOLOGIES LIMITED
2. Scrip Code/Name of Scrip/Class of Security:540900,NEWGEN,EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
  - a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2021
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		NO*
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO*
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO*
d	Whether the Listed Entity has any shares in locked-in?		NO*
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO*

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+CZ))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)			
(A)	Promoter & Promoter Group	6	38586161	0	0	38586161	55.16	38586161	0	38586161	55.16	0	55.16	0	0.00	0	0.00	38586161
(B)	Public	80413	30856684	0	0	30856684	44.11	30856684	0	30856684	44.11	0	44.11	0	0.00	NA	NA	30827870
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	1	512856	0	0	512856	0.73	0	0	0	0.00	0	0.73	0	0.00	NA	NA	512856
	<b>Total:</b>	<b>80420</b>	<b>69955701</b>	<b>0</b>	<b>0</b>	<b>69955701</b>	<b>100.00</b>	<b>69442845</b>	<b>0</b>	<b>69442845</b>	<b>99.27</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>69926887</b>

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VII)) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)-(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)	(X)	(XI)		(XII)	(XIII)		
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	6	38586161	0	0	38586161	55.16	38586161	0	38586161	55.16	0	55.16	0	0.00	0	0.00	38586161
	TIRUMALAI SUNDARAJA IYENGAR VARADARAJAN	1	15009306	0	0	15009306	21.46	15009306	0	15009306	21.46	0	21.46	0	0.00	0	0.00	15009306
	RAGINI GOORJHA	1	50	0	0	50	0.00	50	0	50	0.00	0	0.00	0	0.00	0	0.00	50
	USHA VARADARAJAN	1	1332320	0	0	1332320	1.90	1332320	0	1332320	1.90	0	1.90	0	0.00	0	0.00	1332320
	DIWAKAR NIGAM	1	15674593	0	0	15674593	22.41	15674593	0	15674593	22.41	0	22.41	0	0.00	0	0.00	15674593
	PRIYADARSHINI NIGAM	1	6569792	0	0	6569792	9.39	6569792	0	6569792	9.39	0	9.39	0	0.00	0	0.00	6569792
	SUDHA SAIRAJ	1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)[1]</b>	<b>6</b>	<b>38586161</b>	<b>0</b>	<b>0</b>	<b>38586161</b>	<b>55.16</b>	<b>38586161</b>	<b>0</b>	<b>38586161</b>	<b>55.16</b>	<b>0</b>	<b>55.16</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>38586161</b>
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individual	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)[2]</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (</b>	<b>6</b>	<b>38586161</b>	<b>0</b>	<b>0</b>	<b>38586161</b>	<b>55.16</b>	<b>38586161</b>	<b>0</b>	<b>38586161</b>	<b>55.16</b>	<b>0</b>	<b>55.16</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>38586161</b>

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)	(X)	(XI)		(XII)	(XIII)		
(1)	Institutions																	
(a)	Mutual Funds	4	2910930	0	0	2910930	4.16	2910930	0	2910930	4.16	0	4.16	0	0.00	NA	NA	2910930
	HDFC TRUSTEE CO LTD A/C HDFC RETIREMENT SAVINGS FUND-EQUITY PLAN	1	2175022	0	0	2175022	3.11	2175022	0	2175022	3.11	0	3.11	0	0.00	NA	NA	2175022
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Altamate Investment Funds	8	2094970	0	0	2094970	2.99	2094970	0	2094970	2.99	0	2.99	0	0.00	NA	NA	2094970
	MARATHON EDGE INDIA FUND I	1	1482639	0	0	1482639	2.12	1482639	0	1482639	2.12	0	2.12	0	0.00	NA	NA	1482639
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	61	12936138	0	0	12936138	18.49	12936138	0	12936138	18.49	0	18.49	0	0.00	NA	NA	12936138
	ABU DHABI INVESTMENT AUTHORITY - BEHAVE	1	1652000	0	0	1652000	2.36	1652000	0	1652000	2.36	0	2.36	0	0.00	NA	NA	1652000
	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	1	1128403	0	0	1128403	1.61	1128403	0	1128403	1.61	0	1.61	0	0.00	NA	NA	1128403
	ASHOKA INDIA OPPORTUNITIES FUND	1	1001000	0	0	1001000	1.43	1001000	0	1001000	1.43	0	1.43	0	0.00	NA	NA	1001000
	MALABAR INDIA FUND LIMITED	1	2667082	0	0	2667082	3.81	2667082	0	2667082	3.81	0	3.81	0	0.00	NA	NA	2667082
	KUBER INDIA FUND	1	850000	0	0	850000	1.22	850000	0	850000	1.22	0	1.22	0	0.00	NA	NA	850000
	GOLDMAN SACHS FUNDS - GOLDMAN SACHS INDIA EQUITY P	1	2678786	0	0	2678786	3.83	2678786	0	2678786	3.83	0	3.83	0	0.00	NA	NA	2678786
(f)	Financial Institutions/Banks	1	7	0	0	7	0.00	7	0	7	0.00	0	0.00	0	0.00	NA	NA	7
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	FOREIGN CORPORATE BODIES	1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	0	0.00	NA	NA	60
	<b>Sub Total (B)(1)</b>	<b>75</b>	<b>17942105</b>	<b>0</b>	<b>0</b>	<b>17942105</b>	<b>25.65</b>	<b>17942105</b>	<b>0</b>	<b>17942105</b>	<b>25.65</b>	<b>0</b>	<b>25.65</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>17942105</b>
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Sub Total (B)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs	77063	7309165	0	0	7309165	10.45	7309165	0	7309165	10.45	0	10.45	0	0.00	NA	NA	7301951
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	50	3792800	0	0	3792800	5.42	3792800	0	3792800	5.42	0	5.42	0	0.00	NA	NA	3792800
	MUKUL MAHAVIR AGRAWAL	1	1100000	0	0	1100000	1.57	1100000	0	1100000	1.57	0	1.57	0	0.00	NA	NA	1100000
(b)	NBFCs Registered with RBI	1	35000	0	0	35000	0.05	35000	0	35000	0.05	0	0.05	0	0.00	NA	NA	35000
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	NON RESIDENT INDIANS	1544	1001569	0	0	1001569	1.43	1001569	0	1001569	1.43	0	1.43	0	0.00	NA	NA	979969
	CLEARING MEMBERS	65	53430	0	0	53430	0.08	53430	0	53430	0.08	0	0.08	0	0.00	NA	NA	53430
	BODIES CORPORATES	451	511448	0	0	511448	0.73	511448	0	511448	0.73	0	0.73	0	0.00	NA	NA	511448
	H U F	1164	211167	0	0	211167	0.30	211167	0	211167	0.30	0	0.30	0	0.00	NA	NA	211167
	<b>Sub Total (B)(3)</b>	<b>80338</b>	<b>12914579</b>	<b>0</b>	<b>0</b>	<b>12914579</b>	<b>18.46</b>	<b>12914579</b>	<b>0</b>	<b>12914579</b>	<b>18.46</b>	<b>0</b>	<b>18.46</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>12885765</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>	<b>80413</b>	<b>30856684</b>	<b>0</b>	<b>0</b>	<b>30856684</b>	<b>44.11</b>	<b>30856684</b>	<b>0</b>	<b>30856684</b>	<b>44.11</b>	<b>0</b>	<b>44.11</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>30827870</b>

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)			
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		1	512856	0	0	512856	0.73	0	0	0	0.00	0	0.73	0	0.00	NA	NA	512856
	<b>Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)</b>		<b>1</b>	<b>512856</b>	<b>0</b>	<b>0</b>	<b>512856</b>	<b>0.73</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.73</b>	<b>0</b>	<b>0.00</b>			<b>512856</b>

<b>Details of the shareholders acting as persons in Concert including their Shareholding:</b>			
Name of Shareholder	Name of PAC	No of shares	Holding%
<b>Total:</b>		<b>0</b>	<b>0</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares