

Shareholding pattern filed under Regulation 31(1)(b) as on 31st December 2021

1. Name of Listed Entity:NEWGEN SOFTWARE TECHNOLOGIES LIMITED
2. Scrip Code/Name of Scrip/Class of Security:540900,NEWGEN,EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
  - a. if under 31(1)(b) then indicate the report for quarter ending 31/12/2021
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		NO*
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO*
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO*
d	Whether the Listed Entity has any shares in locked-in?		NO*
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO*
f	Whether company has equity shares with differential voting rights?		NO*
g	Whether the listed entity has any significant beneficial owner?		NO*

\*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	6	38586300	0	0	38586300	55.16	38586300	0	38586300	55.16	0	55.16	0	0.00	0	0.00	38586300
(B)	Public	78208	30906405	0	0	30906405	44.18	30906405	0	30906405	44.18	0	44.18	0	0.00	NA	NA	30877591
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	1	462996	0	0	462996	0.66	0	0	0	0.00	0	0.66	0	0.00	NA	NA	462996
	<b>Total:</b>	<b>78215</b>	<b>69955701</b>	<b>0</b>	<b>0</b>	<b>69955701</b>	<b>100.00</b>	<b>69492705</b>	<b>0</b>	<b>69492705</b>	<b>99.34</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>69926887</b>

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)	(X)	(XI)		(XII)	(XIII)			
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	6	38586300	0	0	38586300	55.16	38586300	0	38586300	55.16	0	55.16	0	0.00	0	0.00	38586300
	TIRUMALAI SUNDARAJA IYENGAR VARADARAJAN	1	15009306	0	0	15009306	21.46	15009306	0	15009306	21.46	0	21.46	0	0.00	0	0.00	15009306
	RAGINI GOORHA	1	50	0	0	50	0.00	50	0	50	0.00	0	0.00	0	0.00	0	0.00	50
	USHA VARADARAJAN	1	1332320	0	0	1332320	1.90	1332320	0	1332320	1.90	0	1.90	0	0.00	0	0.00	1332320
	DIWAKAR NIGAM	1	15674732	0	0	15674732	22.41	15674732	0	15674732	22.41	0	22.41	0	0.00	0	0.00	15674732
	PRIYADARSHINI NIGAM	1	6569792	0	0	6569792	9.39	6569792	0	6569792	9.39	0	9.39	0	0.00	0	0.00	6569792
	SUDHA SAIRAJ	1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(1)</b>	<b>6</b>	<b>38586300</b>	<b>0</b>	<b>0</b>	<b>38586300</b>	<b>55.16</b>	<b>38586300</b>	<b>0</b>	<b>38586300</b>	<b>55.16</b>	<b>0</b>	<b>55.16</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>38586300</b>
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>6</b>	<b>38586300</b>	<b>0</b>	<b>0</b>	<b>38586300</b>	<b>55.16</b>	<b>38586300</b>	<b>0</b>	<b>38586300</b>	<b>55.16</b>	<b>0</b>	<b>55.16</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>38586300</b>

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)	(X)	(XI)	(XII)	(XIII)			
(1)	Institutions																	
(a)	Mutual Funds	4	3263730	0	0	3263730	4.67	3263730	0	3263730	4.67	0	4.67	0	0.00	NA	NA	3263730
	HDFC TRUSTEE COMPANY LTD A/C - HDFC CHILDREN'S GI	1	2150022	0	0	2150022	3.07	2150022	0	2150022	3.07	0	3.07	0	0.00	NA	NA	2150022
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	6	2064381	0	0	2064381	2.95	2064381	0	2064381	2.95	0	2.95	0	0.00	NA	NA	2064381
	MARATHON EDGE INDIA FUND I	1	1482639	0	0	1482639	2.12	1482639	0	1482639	2.12	0	2.12	0	0.00	NA	NA	1482639
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	67	12226512	0	0	12226512	17.48	12226512	0	12226512	17.48	0	17.48	0	0.00	NA	NA	12226512
	ABU DHABI INVESTMENT AUTHORITY - BEHAVE	1	1553535	0	0	1553535	2.22	1553535	0	1553535	2.22	0	2.22	0	0.00	NA	NA	1553535
	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	1	1128403	0	0	1128403	1.61	1128403	0	1128403	1.61	0	1.61	0	0.00	NA	NA	1128403
	ASHOKA INDIA OPPORTUNITIES FUND	1	1001000	0	0	1001000	1.43	1001000	0	1001000	1.43	0	1.43	0	0.00	NA	NA	1001000
	MALABAR INDIA FUND LIMITED	1	2494982	0	0	2494982	3.57	2494982	0	2494982	3.57	0	3.57	0	0.00	NA	NA	2494982
	KUBER INDIA FUND	1	828529	0	0	828529	1.18	828529	0	828529	1.18	0	1.18	0	0.00	NA	NA	828529
	GOLDMAN SACHS FUNDS - GOLDMAN SACHS INDIA EQUIT	1	2678786	0	0	2678786	3.83	2678786	0	2678786	3.83	0	3.83	0	0.00	NA	NA	2678786
(f)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	FOREIGN CORPORATE BODIES	1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	0	0.00	NA	NA	60
	<b>Sub Total (B)(1)</b>	<b>78</b>	<b>17554683</b>	<b>0</b>	<b>0</b>	<b>17554683</b>	<b>25.09</b>	<b>17554683</b>	<b>0</b>	<b>17554683</b>	<b>25.09</b>	<b>0</b>	<b>25.09</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>17554683</b>
(2)	Central Government/State Government(s)/President of In	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Sub Total (B)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs	74621	7468525	0	0	7468525	10.68	7468525	0	7468525	10.68	0	10.68	0	0.00	NA	NA	7461311
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	48	3785503	0	0	3785503	5.41	3785503	0	3785503	5.41	0	5.41	0	0.00	NA	NA	3785503
	MUKUL MAHAVIR AGRAWAL	1	1100000	0	0	1100000	1.57	1100000	0	1100000	1.57	0	1.57	0	0.00	NA	NA	1100000
(b)	NBFCs Registered with RBI	1	35000	0	0	35000	0.05	35000	0	35000	0.05	0	0.05	0	0.00	NA	NA	35000
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	TRUSTS	1	1511	0	0	1511	0.00	1511	0	1511	0.00	0	0.00	0	0.00	NA	NA	1511
	NON RESIDENT INDIANS	1643	1038842	0	0	1038842	1.48	1038842	0	1038842	1.48	0	1.48	0	0.00	NA	NA	1017242
	CLEARING MEMBERS	62	41302	0	0	41302	0.06	41302	0	41302	0.06	0	0.06	0	0.00	NA	NA	41302
	BODIES CORPORATES	565	792200	0	0	792200	1.13	792200	0	792200	1.13	0	1.13	0	0.00	NA	NA	792200
	H U F	1189	188839	0	0	188839	0.27	188839	0	188839	0.27	0	0.27	0	0.00	NA	NA	188839
	<b>Sub Total (B)(3)</b>	<b>78130</b>	<b>13351722</b>	<b>0</b>	<b>0</b>	<b>13351722</b>	<b>19.09</b>	<b>13351722</b>	<b>0</b>	<b>13351722</b>	<b>19.09</b>	<b>0</b>	<b>19.09</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>13329908</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>	<b>78208</b>	<b>30906405</b>	<b>0</b>	<b>0</b>	<b>30906405</b>	<b>44.18</b>	<b>30906405</b>	<b>0</b>	<b>30906405</b>	<b>44.18</b>	<b>0</b>	<b>44.18</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>30877591</b>

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+CZ)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Reg.		1	462996	0	0	462996	0.66	0	0	0	0.00	0	0.66	0	0.00	NA	NA	462996
	<b>Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)</b>		<b>1</b>	<b>462996</b>	<b>0</b>	<b>0</b>	<b>462996</b>	<b>0.66</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.66</b>	<b>0</b>	<b>0.00</b>			<b>462996</b>

<b>Details of the shareholders acting as persons in Concert including their Shareholding:</b>			
Name of Shareholder	Name of PAC	No of shares	Holding%
<b>Total:</b>		<b>0</b>	<b>0</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares