

Shareholding Pattern

Newgen Software Technologies Limited

General Information

Scrip code	540900
NSE Symbol	NEWGEN
MSEI Symbol	NOTLISTED
ISIN	INE619B01017
Whether company is SME	false
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2025
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	false

Declaration

Searial No.	Particular	Yes / No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	false	false	false	false
2	Whether the Listed Entity has issued any Convertible Securities ?	false	false	false	false
3	Whether the Listed Entity has issued any Warrants ?	false	false	false	false
4	Whether Listed Entity has granted any ESOPs, which are outstanding?	true	false	false	true
5	Whether the Listed Entity has any shares against which depository receipts are issued?	false	false	false	false
6	Whether the Listed Entity has any shares in locked-in?	false	false	false	false
7	Whether any shares held by promoters are encumbered under 'Pledge'?	false	false		
8	Whether any shares held by promoters are encumbered under 'Non-Disposal Undertaking'?	false	false		
9	Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?	false	false		
10	Whether company has equity shares with differential voting rights?	false	false	false	false
11	Whether the listed entity has any significant beneficial owner?	false			

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. Of Shares Underlying Outstanding convertible securities(XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)=(VII+X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+(X)As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged (XIV)		Non- Disposal Undertaking (XV)		Other encumbrances, if any (XVI)		Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)		Number of equity shares held in dematerialized form (XVIII)	Sub-categorization of shares				
								No of Voting (XIV) Rights										Shareholding (No. of shares) under		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:X	Class eg:Y	Total	Total as a % of (A+B+C)							Sub-category (I)	Sub-category (II)														Sub-category (III)
(A)	Promoter & Promoter Group	4	76171392			76171392	53.76	76171392		76171392	54.14					76171392	52.41											76171392					
(B)	Public	165766	64516520			64516520	45.54	64516520		64516520	45.86					64516520	44.39											64494920	0	0	0		
(C)	Non Promoter- Non Public	2	990875			990875		0		0	0.00		3670468	3670468	4661343													990875					
(C1)	Shares underlying DRs																																
(C2)	Shares held by Employee Trusts	2	990875			990875	0.70	0		0	0.00		3670468	3670468	4661343	3.21												990875					
	Total	165772	141678787			141678787	100.00	140687912		140687912	100.00		3670468	3670468	145349255	100.00												141657187	0	0	0		

Shareholding Pattern

[illegible]

Serial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts/ (VI)	Total nos.shares held (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No.Of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No.of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No.of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII+X)	Shareholding , as a % assuming full conversion of convertible securities(as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of(A+B+C2)	Number of Locked in shares (XIII)	Number of Shares pledged (XIV)	Non-Disposal Undertaking (XV)	Other encumbrances, if any (XVI)	Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)	Number of equity shares held in dematerialized for (XVIII)	Sub-categorization of shares			Shareholder type	
											No of Voting (XIV) Rights															Total as a % of Total Voting rights	Shareholding (No.of shares) under			
											Class eg:X	Class eg:Y	Total														Sub - category (i)	Sub - category (ii)		Sub - category (iii)
(a)	Mutual Funds				20	5503169			5503169	3.88	5503169	5503169	3.91					5503169	3.79							5503169	0	0	0	
	TATA DIGITAL INDIA FUND				1	1794649			1794649	1.27	1794649	1794649	1.28					1794649	1.23							1794649	0	0	0	
(b)	Venture Capital Funds																													
(c)	Alternate Investment Funds				10	7879552			7879552	5.56	7879552	7879552	5.60					7879552	5.42							7879552	0	0	0	
	MARATHON EDGE INDIA FUND I				1	7705278			7705278	5.44	7705278	7705278	5.48					7705278	5.30							7705278	0	0	0	
(d)	Banks																													
(e)	Insurance Companies				10	191400			191400	0.14	191400	191400	0.14					191400	0.13							191400	0	0	0	
(f)	Provident Funds/ Pension Funds																													
(g)	Asset reconstruction companies																													
(h)	Sovereign Wealth Funds																													
(i)	NBFCs registered with RBI																													
(j)	Other Financial Institutions																													
(k)	Any Other (specify)																													
	Sub-Total (B)(1)				40	13574121			13574121	9.58	13574121	13574121	9.65					13574121	9.34							13574121	0	0	0	
(2)	Institutions (Foreign)																													
(a)	Foreign Direct Investment																													
(b)	Foreign Venture Capital Investors																													
(c)	Sovereign Wealth Funds																													
(d)	Foreign Portfolio Investors Category I				144	23677773			23677773	16.71	23677773	23677773	16.83					23677773	16.29							23677773	0	0	0	
	MASSACHUSETTS INSTITUTE OF TECHNOLOGY				1	5808494			5808494	4.10	5808494	5808494	4.13					5808494	4.00							5808494	0	0	0	
	GOLDMAN SACHS FUNDS - GOLDMAN SACHS INDIA EQUITY P				1	3111859			3111859	2.20	3111859	3111859	2.21					3111859	2.14							3111859	0	0	0	
(e)	Foreign Portfolio Investors Category II				11	1294539			1294539	0.91	1294539	1294539	0.92					1294539	0.89							1294539	0	0	0	
(f)	Overseas Depositories (holding DRs) (balancing figure)																													
(g)	Any Other (specify)																													
	Sub-Total (B)(2)				155	24972312			24972312	17.63	24972312	24972312	17.75					24972312	17.18							24972312	0	0	0	
(3)	Central Government / State Government(s)																													
(a)	Central Government / President of India																													
(b)	State Government / Governor																													
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter																													
	Sub-Total (B)(3)																													
(4)	Non-institutions																													
(a)	Associate companies / Subsidiaries																													
(b)	Directors and their relatives (excluding independent directors and nominee directors)																													
(c)	Key Managerial Personnel				4	1170137			1170137	0.83	1170137	1170137	0.83					1170137	0.81							1170137	0	0	0	
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)																													
(e)	Trusts where any person belonging to																													

Serial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts (VI)	Total nos.shares held (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No.of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No.of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No.of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XII)= (VII)+(X) As a % of(A+B+C2)	Shareholding , as a % assuming full conversion of convertible securities(as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of(A+B+C2)	Number of Locked in shares (XIII)	Number of Shares pledged (XIV)	Non-Disposal Undertaking (XV)	Other encumbrances, if any (XVI)	Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)	Number of equity shares held in dematerialized for (XVIII)	Sub-categorization of shares			Shareholder type				
											No of Voting (XIV) Rights															Total as a % of Total Voting rights	Shareholding (No.of shares) under						
											Class eg:X	Class eg:Y	Total														Sub - category (i)	Sub - category (ii)		Sub - category (iii)			
	'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'																																
(f)	Investor Education and Protection Fund (IEPF)				1	2190			2190	0.00	2190		2190	0.00			2190	0.00							2190	0	0	0					
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs				159505	16984976			16984976	11.99	16984976		16984976	12.07			16984976	11.69							16984976	0	0	0					
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs				82	3709838			3709838	2.62	3709838		3709838	2.64			3709838	2.55							3709838	0	0	0					
(i)	Non Resident Indians (NRIs)				3515	2307496			2307496	1.63	2307496		2307496	1.64			2307496	1.59							2285896	0	0	0					
(j)	Foreign Nationals																																
(k)	Foreign Companies				1	120			120	0.00	120		120	0.00			120	0.00							120	0	0	0					
(l)	Bodies Corporate				458	1381257			1381257	0.97	1381257		1381257	0.98			1381257	0.95							1381257	0	0	0					
(m)	Any Other (specify)				2005	414073			414073	0.29	414073		414073	0.29			414073	0.28							414073	0	0	0					
		Clearing Members	Category		5	722			722	0.00	722		722	0.00			722	0.00							722	0	0	0					
		HUF	Category		1996	410052			410052	0.29	410052		410052	0.29			410052	0.28							410052	0	0	0					
		Trusts	Category		4	3299			3299	0.00	3299		3299	0.00			3299	0.00							3299	0	0	0					
	Sub-Total (B)(4)				165571	25970087			25970087	18.33	25970087		25970087	18.46			25970087	17.87							25948487	0	0	0					
	(B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				165766	64516520			64516520	45.54	64516520		64516520	45.86			64516520	44.39							64494920	0	0	0					
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																																
(1)	Custodian/DR Holder - Name of DR Holders (If Available)																																
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021				2	990875			990875	0.70	0		0	0.00		3670468	3670468	4661343	3.21						990875								
	Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)				2	990875			990875		0		0	0.00		3670468	3670468	4661343							990875								
	Total (A+B+C2)				165772	141678787	0	0	141678787	100.00	140687912	0	140687912	100.00	0	0	3670468	3670468	145349255	100.01	0	0	0	0	0	0	0	0	0	141657187	0	0	0
	Total (A+B+C)				165772	141678787			141678787	100.00	140687912		140687912	100.00		3670468	3670468	145349255	100.00							141657187	0	0	0				
Disclosure of notes on shareholding pattern																																	
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage																																	
Disclosure of notes in case of public share holding is less than 25 percentage																																	
Disclosure of notes on shareholding pattern for company remarks explanatory																																	
Textual Information(3).																																	

Text Block

[Textual Information\(3\)](#)

KMPs excludes Directors who are already counted in Promote/Promoter Group. Directors in the promoter category holding shares are already counted in Promoter/ Promoter Group. Sonali Nigam is Non Resident and does not have PAN in India. The Company follows the Trust Route for transferring shares to employees, wherein the Trust subscribes to shares issued by the Company. Since the Trust's shareholding is classified under the 'Non-Promoter, Non-Public' category, the aggregate outstanding ESOPs are also reported under the same category.

Details of Shares which remain unclaimed for Public

Searial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	4	21721	21721	

Table VI - Statement showing foreign ownership limits

Particular	Approved limits(%)	Limits utilized(%)
As on shareholding date	100.00	18.11
As on the end of previous 1st quarter	100.00	19.61
As on the end of previous 2nd quarter	100.00	19.86
As on the end of previous 3rd quarter	100.00	20.84
As on the end of previous 4th quarter	100.00	20.49