

1. Name of Listed Entity: Newgen Software Technologies Limited
2. Scrip Code/Name of Scrip/Class of Security: NEWGEN
3. Share Holding Pattern Filed under: 31c
4. Share Holding Pattern as on : 13-Jan-2024
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders	No. of fully paid up equity	No. of Partly paid-up	No. of shares underlying	Total nos. shares	Shareholding as a % of total no. of shares	Number of Voting Rights held in each class of securities			No. of Shares Underlying	Shareholding, as a % assuming full conversion	Number of Locked in shares		Number of Shares pledged or		Number of equity shares held	Sub-categorization of shares
			shares	equity shares	Depository Receipts	held (VII) = (IV)+(V)+ (VI)	held (VIII) = (IV)+(V)+ (VI)	(calculated as per SCRR, 1957)	(IX)	Outstanding convertible securities (including Warrants) (X)	of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	(XII)	otherwise encumbered (XIII)	in dematerialized form (XIV)	Shareholding No of shares	under		
			(IV)	(V)	(VI)	(VII)	(VIII)	Class	Class	Total			(a)	As a % of total Shares	(a)	As a % of total Shares held		

								eg: X	eg: y	al			(A+B+C2)		r es hel d (b)		(b)		Sub- Cate- gory I	Sub- Cate- gory III	Sub- Cate- gory III
A	Promoter & Promoter Group	6	7717297 0	0	0	771729 70	55.07	77170 2970	771 729 70	55.23	0	55.07	0	0	0	0	77172970				
B	Public	10792 5	6256544 6	0	0	625654 46	44.65	62560 5446	625 654 46	44.77	0	44.65	0	0			62518646				
C	Non Promoter- Non Public	1	400386	0	0	400386		0	0	0	0			0	0		400386				
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0			0	0		0				
C2	Shares held by Employee Trusts	1	400386	0	0	400386	0.29	0	0	0	0	0.29	0	0			400386				
	Total	10793 2	1401388 02	0	0	140138 802	100	13970 3841 6	139 738 416	100	0	100	0	0	0	0	14009200 2				

Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	6	77172970	0	0	77172970	55.07	77172970	0	77172970	55.23	0	55.07	0	0	0	0	0	77172970

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares			
							No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)	Shareholding (No. of shares) under		
							Classes X	Classes Y	Total									Sub-Category I	Sub-Category II	Sub-Category III
1 Institutions (Domestic)	0	0	0	0	0	0	0	0	0	0	0	0	0			0				
a Mutual Funds	7	5191452	0	0	5191452	3.7	5191452	0	5191452	3.72	0	3.7	0	0			5191452			
HDFC MUTUAL FUND - HDFC	1	220000	0	0	220000	1.57	220000	0	220000	1.57	0	1.57	0	0			220000			

	Sub-Total (B)(1)	17	13706758	0	0	13706758	9.78	13706758	0	13706758	9.81	0	9.78	0	0		13706758
2	Institutions (Foreign)	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Foreign Direct Investment	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
b	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
c	Sovereign Wealth Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Foreign Portfolio Investors Category I	123	20613668	0	0	20613668	14.71	20613668	0	20613668	14.75	0	14.71	0	0		20613668
	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	1	5808494	0	0	5808494	4.14	5808494	0	5808494	4.16	0	4.14	0	0		5808494
	MALABAR INDIA FUND LIMITED	1	1427446	0	0	1427446	1.02	1427446	0	1427446	1.02	0	1.02	0	0		1427446
	GOLDMAN SACHS FUNDS - GOLDMAN SACHS	1	4400302	0	0	4400302	3.14	4400302	0	4400302	3.15	0	3.14	0	0		4400302
e	Foreign Portfolio Investors	11	607908	0	0	607908	0.43	607908	0	607908	0.44	0	0.43	0	0		607908

	of the trust"																	
f	Investor Education and Protection Fund (IEPF)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
g	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	103050	16382816	0	0	16382816	11.69	16382816	0	16382816	11.72	0	11.69	0	0			16379216
h	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	84	4732472	0	0	4732472	3.38	4732472	0	4732472	3.39	0	3.38	0	0			4732472
i	Non Resident Indians (NRIs)	2784	22772054	0	0	22772054	1.62	22772054	0	22772054	1.63	0	1.62	0	0			2234054
j	Foreign Nationals	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
k	Foreign Companies	1	120	0	0	120	0	120	0	120	0	0	0	0	0			120
l	Bodies Corporate	446	2125370	0	0	2125370	1.52	2125370	0	2125370	1.52	0	1.52	0	0			2125370
m	Any Other (specify)	1404	435470	0	0	435470	0.31	435470	0	435470	0.31	0	0.31	0	0			435470
	Clearing Members	2	190	0	0	190	0	190	0	190	0	0	0	0	0			190
	HUF	1398	422734	0	0	422734	0.3	422734	0	422734	0.3	0	0.3	0	0			422734

Trusts	4	125460	0	0	12546	0.01	12546	0	12546	0.01	0	0.01	0	0			12546
Sub-Total (B)(4)	10774	276370112	0	0	27637112	19.72	27637112	0	27637112	19.78	0	19.72	0	0			27590312
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)	107925	625650446	0	0	62565446	44.65	62565446	0	62565446	44.77	0	44.65	0	0			62518646

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)				
							No of Voting Rights Class X	No of Voting Rights Class Y	Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)					
																	Total		Total	
1	Custodian/DR Holder	0	0	0	0		0	0	0	0	0	0	0	0	0	0				
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021	1400386	0	0	400386	0.29	0	0	0	0	0	0.29	0	0			400386			
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	1400386	0	0	400386		0	0	0	0	0		0	0			400386			

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table VI - Statement showing foreign ownership limits

	Particular	Approved Limits (%)	Utilized Limit (%)
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Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %