

Shareholding Pattern filed under Regulation 31(1)(b) as on 30/09/2018

1. Name of Listed Entity: NEWGEN SOFTWARE TECHNOLOGIES LIMITED
2. Scrip Code/Name of Scrip/Class of Security: NEWGEN,EQUITY SHARES
3. Share Holding Pattern Filed under: Reg.31(1)(b)
a. if under 31(1)(b) then indicate the report for quarter ending September 2018 - 30/09/2018

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?	YES	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

For Newgen Software Technologies Limited



Aman Mousa
Aman Mousa
Company Secretary

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held		
																		No of Voting Rights
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)					
(A)	Promoter & Promoter Group	5	45928988	0	0	45928988	66.34	45928988	0	45928988	67.48	0	66.34	45928988	100.00	0	0.00	45928988
(B)	Public	30356	22132731	0	0	22132731	31.97	22132731	0	22132731	32.52	0	31.97	2123977	9.60	NA	NA	22027817
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	1	1173982	0	0	1173982	1.70	0	0	0	0.00	0	1.70	0	0.00	NA	NA	1173982
	Total:	30362	69235701	0	0	69235701	100.00	68061719	0	68061719	100.00	0	100.00	48052915	69.40	0	0.00	69130787

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total								
	(I)	(II)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	(XVIII)	
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	5	45928988	0	0	45928988	66.34	45928988	0	45928988	67.48	0	66.34	45928938	100.00	0	0.00	45928988
	T S VARADARAJAN	1	15009306	0	0	15009306	21.68	15009306	0	15009306	22.05	0	21.68	15009306	100.00	0	0.00	15009306
	RAGINI GOORHA	1	50	0	0	50	0.00	50	0	50	0.00	0	0.00	0	0.00	0	0.00	50
	USHA VARADARAJAN	1	4528320	0	0	4528320	6.54	4528320	0	4528320	6.65	0	6.54	4528320	100.00	0	0.00	4528320
	DIWAKAR NIGAM	1	18422406	0	0	18422406	26.61	18422406	0	18422406	27.07	0	26.61	18422406	100.00	0	0.00	18422406
	PRIYADARSHINI NIGAM	1	7968906	0	0	7968906	11.51	7968906	0	7968906	11.71	0	11.51	7968906	100.00	0	0.00	7968906
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(1)	5	45928988	0	0	45928988	66.34	45928988	0	45928988	67.48	0	66.34	45928938	100.00	0	0.00	45928988
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)	5	45928988	0	0	45928988	66.34	45928988	0	45928988	67.48	0	66.34	45928938	100.00	0	0.00	45928988

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Institutions																	
(a)	Mutual Funds	3	3105312	0	0	3105312	4.49	3105312	0	3105312	4.56	0	4.49	0	0.00	NA	NA	3105312
	HDFC TRUSTEE COMPANY LTD. A/C HDFC CAPITAL BUILD	1	2493908	0	0	2493908	3.60	2493908	0	2493908	3.66	0	3.60	0	0.00	NA	NA	2493908
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	3	2613327	0	0	2613327	3.77	2613327	0	2613327	3.84	0	3.77	0	0.00	NA	NA	2613327
	EDELWEISS MULTI STRATEGY INVESTMENT TRUST - EDEL	1	1146563	0	0	1146563	1.66	1146563	0	1146563	1.68	0	1.66	0	0.00	NA	NA	1146563
	MALABAR VALUE FUND	1	846764	0	0	846764	1.22	846764	0	846764	1.24	0	1.22	0	0.00	NA	NA	846764
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Foreign Portfolio Investors	16	7753276	0	0	7753276	11.20	7753276	0	7753276	11.39	0	11.20	0	0.00	NA	NA	7753276
(e)	GOLDMAN SACHS INDIA LIMITED	1	2979640	0	0	2979640	4.30	2979640	0	2979640	4.38	0	4.30	0	0.00	NA	NA	2979640
	MALABAR INDIA FUND LIMITED	1	4461106	0	0	4461106	6.44	4461106	0	4461106	6.55	0	6.44	0	0.00	NA	NA	4461106
(f)	Financial Institutions/Banks	2	14683	0	0	14683	0.02	14683	0	14683	0.02	0	0.02	0	0.00	NA	NA	14683
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	FOREIGN CORPORATE BODIES	1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	0	0.00	NA	NA	60
	Sub Total (B)(1)	25	13486658	0	0	13486658	19.48	13486658	0	13486658	19.82	0	19.48	0	0.00	NA	NA	13486658
(2)	Central Government/State Government(s)/President of I	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	i.Individual shareholders holding nominal share capital up	28200	3828036	0	0	3828036	5.53	3828036	0	3828036	5.62	0	5.53	381077	9.95	NA	NA	3783722
	ii.Individual shareholders holding nominal share capital fr	48	2572254	0	0	2572254	3.72	2572254	0	2572254	3.78	0	3.72	1448900	56.33	NA	NA	2533254
(b)	NBFCs Registered with RBI	3	36533	0	0	36533	0.05	36533	0	36533	0.05	0	0.05	0	0.00	NA	NA	36533
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	NON RESIDENT INDIANS	257	184034	0	0	184034	0.27	184034	0	184034	0.27	0	0.27	21600	11.74	NA	NA	162434
	CLEARING MEMBERS	38	12657	0	0	12657	0.02	12657	0	12657	0.02	0	0.02	0	0.00	NA	NA	12657
	NON RESIDENT INDIAN NON REPATRIABLE	94	443114	0	0	443114	0.64	443114	0	443114	0.65	0	0.64	272400	61.47	NA	NA	443114
	BODIES CORPORATES	168	1442467	0	0	1442467	2.08	1442467	0	1442467	2.12	0	2.08	0	0.00	NA	NA	1442467
	CANARA HSBC ORIENTAL BANK OF COMMERCE LIFE INSU	1	1193837	0	0	1193837	1.72	1193837	0	1193837	1.75	0	1.72	0	0.00	NA	NA	1193837
	H U F	1523	126978	0	0	126978	0.18	126978	0	126978	0.19	0	0.18	0	0.00	NA	NA	126978
	Sub Total (B)(3)	30331	8646073	0	0	8646073	12.49	8646073	0	8646073	12.70	0	12.49	2123977	24.57			8541159
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	30356	22132731	0	0	22132731	31.97	22132731	0	22132731	32.52	0	31.97	2123977	9.60			22027817

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/DR Holder			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Reg.			1	1173982	0	0	1173982	1.70	0	0	0.00	0	1.70	0	0.00	NA	NA	1173982
	AMARENDRA KISHORE SHARAN	AACIND584E		1	1173982	0	0	1173982	1.70	0	0	0.00	0	1.70	0	0.00	NA	NA	1173982
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)			1	1173982	0	0	1173982	1.70	0	0	0.00	0	1.70	0	0.00			1173982

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No of Shareholders

No of shares