

Shareholding Pattern filed under Regulation 31(1)(b) as on 31st March 2021

1. Name of Listed Entity: **NEWGEN SOFTWARE TECHNOLOGIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **540900,NEWGEN,EQUITY SHARES**
3. Share Holding Pattern Filed under: **Reg.31(1)(b)**
  - a. if under 31(1)(b) then indicate the report for quarter ending 31/03/2021
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c	Whether the Listed Entity has any shares against which depository receipts are issued?		No
d	Whether the Listed Entity has any shares in locked-in?		No
e	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares	No.	As a % of total Shares		
								Class X	Class Y									(X)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	Class X	Class Y	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)		
(A)	Promoter & Promoter Group	5	45978988	0	0	45978988	65.73	45978988	0	45978988	66.34	0	65.73	0	0.00	0	0.00	45978988
(B)	Public	28077	23227017	0	0	23227017	33.95	23227017	0	23227017	33.66	0	33.25	0	0.00	NA	NA	23260103
(C)	Non Promoter-Non Public	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C1)	Shares underlying DRs	1	649696	0	0	649696	0.93	0	0	0	0.00	0	0.93	0	0.00	NA	NA	649696
(C2)	Shares held by Employees Trusts	1	649696	0	0	649696	0.93	0	0	0	0.00	0	0.93	0	0.00	NA	NA	649696
<b>Total:</b>		<b>28083</b>	<b>69955701</b>	<b>0</b>	<b>0</b>	<b>69955701</b>	<b>100.00</b>	<b>69306005</b>	<b>0</b>	<b>69306005</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>69926887</b>

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VII)) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)/(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total			No.	As a % of total Shares	No.	As a % of total Shares held		
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	Class X	Class Y	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)		
(1)	Indian																	
(a)	Individuals/ Hindu Undivided Family	5	45978988	0	0	45978988	65.73	45978988	0	45978988	66.34	0	65.73	0	0.00	0	0.00	45978988
	T S VARADARAJAN	1	15009306	0	0	15009306	21.46	15009306	0	15009306	21.66	0	21.46	0	0.00	0	0.00	15009306
	RAGINI GORHA	1	50	0	0	50	0.00	50	0	50	0.00	0	0.00	0	0.00	0	0.00	50
	YESHA VARADARAJAN	1	4528320	0	0	4528320	6.47	4528320	0	4528320	6.53	0	6.47	0	0.00	0	0.00	4528320
	DNAKAR NIGAM	1	18472406	0	0	18472406	26.41	18472406	0	18472406	26.65	0	26.41	0	0.00	0	0.00	18472406
	PRIVATASUINI NIGAM	1	7968906	0	0	7968906	11.39	7968906	0	7968906	11.50	0	11.39	0	0.00	0	0.00	7968906
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A1)	5	45978988	0	0	45978988	65.73	45978988	0	45978988	66.34	0	65.73	0	0.00	0	0.00	45978988
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)	5	45978988	0	0	45978988	65.73	45978988	0	45978988	66.34	0	65.73	0	0.00	0	0.00	45978988

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total			No.	As a % of total Shares	No.	As a % of total Shares held		
																		No of Voting Rights
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	(XVI)		
(1)	Institutions																	
(a)	Mutual Funds																	
	HDFC TRUSTEE COMPANY LTD A/C - HDFC CHILDREN'S GIFT FUND - INVESTMENT PLAN	2	2613692	0	0	2613692	3.74	2613692	0	2613692	3.77	0	3.74	0	0.00	NA	NA	2613692
	Venture Capital Funds																	
	Marathon Edge India Fund I	1	1482639	0	0	1482639	2.12	1482639	0	1482639	2.14	0	2.12	0	0.00	NA	NA	1482639
(c)	Alternate Investment Funds																	
	Marathon Edge India Fund I	1	1482639	0	0	1482639	2.12	1482639	0	1482639	2.14	0	2.12	0	0.00	NA	NA	1482639
(d)	Foreign Venture Capital Investors																	
	Foreign Portfolio Investors	35	10237623	0	0	10237623	14.63	10237623	0	10237623	14.77	0	14.63	0	0.00	NA	NA	10237623
	GOLDMAN SACHS INDIA LIMITED	1	2678786	0	0	2678786	3.83	2678786	0	2678786	3.87	0	3.83	0	0.00	NA	NA	2678786
	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	1	11236493	0	0	11236493	1.61	11236493	0	11236493	1.63	0	1.61	0	0.00	NA	NA	11236493
	MALABAR INDIA FUND LIMITED	1	4978931	0	0	4978931	7.12	4978931	0	4978931	7.18	0	7.12	0	0.00	NA	NA	4978931
(f)	Financial Institutions/Banks																	
	Financial Institutions/Banks	1	7	0	0	7	0.00	7	0	7	0.00	0	0.00	0	0.00	NA	NA	7
(g)	Insurance Companies																	
	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds																	
	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	FOREIGN CORPORATE BODIES	1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	0	0.00	NA	NA	60
	Sub Total (B)(1)	42	15027541	0	0	15027541	21.48	15027541	0	15027541	21.68	0	21.48	0	0.00	NA	NA	15027541
(2)	Central Government/State Government(s)/President of India																	
	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non Institutions																	
(a)	Individual shareholders holding nominal share capital up to Rs 2 lakhs	26411	4269406	0	0	4269406	6.10	4269406	0	4269406	6.16	0	6.10	0	0.00	NA	NA	4262192
(b)	Individual shareholders holding nominal share capital in excess of Rs 2 Lakhs	49	2692568	0	0	2692568	3.85	2692568	0	2692568	3.89	0	3.85	0	0.00	NA	NA	2692568
(c)	NBFCs Registered with RBI																	
	NBFCs Registered with RBI	1	35000	0	0	35000	0.05	35000	0	35000	0.05	0	0.05	0	0.00	NA	NA	35000
(d)	Employee Trusts																	
	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Overseas Depositories (Holding DRs)(Balancing figure)																	
	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(f)	Any Other																	
	NRA RESIDENT INDIANS	528	945177	0	0	945177	1.35	945177	0	945177	1.36	0	1.35	0	0.00	NA	NA	923577
	CLARING MEMBERS	54	47314	0	0	47314	0.07	47314	0	47314	0.07	0	0.07	0	0.00	NA	NA	47314
	BODIES CORPORATES	66	163254	0	0	163254	0.23	163254	0	163254	0.24	0	0.23	0	0.00	NA	NA	163254
	H U F	926	146757	0	0	146757	0.21	146757	0	146757	0.21	0	0.21	0	0.00	NA	NA	146757
	Sub Total (B)(3)	28935	8299476	0	0	8299476	11.86	8299476	0	8299476	11.88	0	11.86	0	0.00	NA	NA	8279662
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	28977	23327017	0	0	23327017	33.35	23327017	0	23327017	33.66	0	33.35	0	0.00	NA	NA	23298203

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V+V+V)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares	No.	As a % of total Shares held		
								Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	Class X	Class Y	Total	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	
[1]	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
[2]	Employee Benefit Trust (under SEBI share based Employee Benefit) Regulations 2014)	1	649696	0	0	649696	0.93	0	0	0	0.00	0	0.93	0	0.00	NA	NA	649696
	Total Non-Promoter-Non Public Shareholding (C) = (C1)+(C2)	1	649696	0	0	649696	0.93	0	0	0	0.00	0	0.93	0	0.00			649696

<b>Details of the shareholders acting as persons in Concert including their Shareholding:</b>			
Name of Shareholder	Name of PAC	No of shares	Holding%
<b>Total:</b>		<b>0</b>	<b>0</b>

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,</b>	
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No of Shareholders	No of shares
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