- 1. Name of Listed Entity: Newgen Software Technologies Limited
- 2. Scrip Code/Name of Scrip/Class of Security: NEWGEN
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 31-Mar-2023
- 5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in lockedin?	·No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Catego	Category of	Nos. of	No. of fully	No. of	No. of	Total	Shareholding	Num	ber of V	oting R	ghts	No. of	Shareholding,	Numb	er of	Nun	nber of	Number of
ry	shareholder	shareh	paid up	Partly	shares	nos.	as a % of total	hel	ld in ead	ch class	of	Shares	as a % assuming	Lock	ed in	SI	hares	equity
(1)	(II)	olders	equity	paid-up	underlying	shares	no. of shares		secu	rities		Underlying	full conversion	sha	res	pled	lged or	shares held
		(III)	shares	equity	Depository	held	(calculated as		(L	K)		Outstanding	of convertible			oth	erwise	in
			held	shares	Receipts	(VII) =	per SCRR,					convertible	securities (as a	(XI	<u>l)</u>	encu	mbered	dematerializ
			(IV)	held	(VI)	(IV)+(V)+	1957)					securities	percentage of			(-	XIII)	ed form
			Ī	(V)		(VI)	(VIII)					(including	diluted share					
							As a % of		of Votin	g	Total	Warrants)	capital)	No.	As a	No.	As a	(XIV)
							(A+B+C2)		Rights		as a	(X)		(a)	% of	(a)	% of	
								Clas	Clas	Tot	% of	' '	(XI) = (VII) + (X)		total		total	
								s	s	al	(A+B+		As a % of		Shar		Share	

								eg: X	eg:y		C)		(A+B+C2)		es held (b)		s held (b)	
A	Promoter & Promoter Group	6	3858630 0	0	0	385863 00	55.16	3858 6300		385 863 00	55.4	0	55.16	0	0	0	0	38586300
В	Public	94779	3106937 6	0	0	310693 76		3106 9376		310 693 76	44.6	0	44.41	0	0			31045976
С	Non Promoter- Non Public	1	300025	0	0	300025		0	0	0	0	0		0	0			300025
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	1	300025	0	0	300025	0.43	0	0	0	0	0	0.43	0	0			300025
	Total	94786	6995570 1	0	0	699557 01		6965 5676	0	696 556 76	100	0	100	0	0	0	0	69932301

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	No. of	No. of	Partly	Nos. of	Total	Sharehol				ts held in	No. of	Shareholdin		ber of		nber of	Number of
	of the	shareh	fully	paid-up	shares	nos.	ding %	ea	ch class		rities	Shares	g, as a %		ked in		hares	equity
	Shareholders	older (III)	paid	equity shares	underlying Depository	shares held	calculate d as per	1	(1	X)		Underlying Outstanding	assuming full		ares XII)		lged or erwise	shares held in
	(1)	(111)	up equity	held	Receipts	(VII =	SCRR,	+				convertible	conversion	- '-	AII)		mbered	dematerializ
			share	(V)	(VI)	IV+V+VI)	1957	1				securities	of	1			(XIII)	ed form
			s held	(•)	(0,)	10.0.01,	1301	No of	Voting Ri	ahts	Total as	(including	convertible	No.	As a	No.	As a	(XIV)
			(IV)				As a % of	110 0.		,	a % of	Warrants)	securities ((a)	% of	(a)	% of	()
			` ′				(A+B+C2)	Ī			Total	(X)	as a	1 ' ′	total	` ′	total	
			1 1				(VIII)	Class	Class	Tot	Voting		percentage]	Shar		share	
								X	Y	al	rights		of diluted	_	es		s held	
						1							share	4	held		(b)	
						1							capital) (XI) =	4	(b)			
			1										(VII)+(X) as a	4				
			1										% of	1				
			i i										A+B+C2	1				
	Indian (0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ı	Individuals/Hi	6	38586	0	0	385863	55.16	38586	0	385	55.4	0	55.16	0	0	0	0	38586300
•														ľ	ľ			
	ndu undivided		300			00		300		863								
	Family									00								
	DIWAKAR	1	15674	0	0	156747	22.41	15674	0	156	22.5	0	22.41	0	0	0	0	15674732
	NIGAM		732			32		732		747								
	NIGAM		132			32		132										
										32								
	TS	1	15009	0	0	150093	21.46	15009	0	150	21.55	0	21.46	0	0	0	0	15009306
	VARADARA		306			06		306		093								
			300			00		300										
	JAN									06								
	USHA	1	13323	0	0	133232	1.9	13323	0	133	1.91	0	1.9	0	0	0	0	1332320
	VARADARA		20			0		20		232								
	1		20			U		20		232								
	JAN									0								
	PRIYADARS	1	65697	0	0	656979	9.39	65697	0	656	9.43	0	9.39	0	0	0	0	6569792
	HINI NIGAM		92			2		92		979								
	IIINI MOAM		92			2		92										
										2								
	RAGINI	1	50	0	0	50	0	50	0	50	0	0	0	0	0	0	0	50
				0										١				
	GOORHA																	
	MEENAKSH (0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	I NIGAM																	
	ISSAR																	
	SHUBHI (0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

	NIGAM																	
	SONALI NIGAM	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	T.S. DEVANATH AN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	T.S.S. DESHIKAN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	T.S. AMRUTHAV ALLI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	UJJWAL VARADARA JAN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	V. DIVYA	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0		-	U	0	0	<u> </u>	0	0	0	0	0
	NADADUR SRINIVASA VARADAN SAROJA	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	S. SRIKANTH	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	SUDHA SAIRAJ	1	100	0	0	100	0	100	0	100	0	0	0	0	0	0	0	100
b	Government/ State Government(s	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
С	Financial Institutions/ Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

	Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Corporate																	
	KRIOS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	TECHNOLO																	
	GIES																	
	PRIVATE																	
	LIMITED																	
	JNANAADRI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	TECHNOVA																	
	TIONS																	
	PRIVATE																	
	LIMITED																	
	SOSALEY	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	TECHNOLO																	
1	GIES																	
	PRIVATE																	
	LIMITED																	
	JNANAADRI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	INNOVATIO					O				U			ľ		U			O
	NS LLP																	
	Sub-Total	6	38586	0	0	385863	55 16	38586	50	385	55.4	0	55.16	0	0	0	0	38586300
	(A)(1)		300			00	55.10	300		863	33.1		33.10					30300300
			300					500		00								
2	Foreign	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0
a	Individuals	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(Non-																	
	Resident																	
	Individuals/																	
1	Foreign																	
	Individuals)																	
	/	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Institutions	0		0	0	0	0	0	0		0	0		0		0	0	0
	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Portfolio		ا ً	-			_				_]						Ţ
1	Investor																	
	Any Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ĭ	(specify)																	
	(specify)	1		l					1						1			

Sub-Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(A)(2)																	
Total	6	38586	0	0	385863	55.16	38586	0	385	55.4	0	55.16	0	0	0	0	38586300
Shareholding		300			00		300		863								
of Promoter									00								
and Promoter																	
Group (A)=																	
(A)(1)+(A)(2)																	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957	ea	ich class (l	of secu IX)		No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion	Loc. sh (2	nber of ked in ares XII)	Sh pled othe encur (X	ber of ares ged or rwise mbered (III)	Number of equity shares held in dematerializ ed form
			s held (IV)				As a % of (A+B+C2) VIII	Class	Voting Ri Class Y	ghts Tot al	Total as a % of Total Voting rights	(including Warrants) (X)	of convertible securities (as a percentage of diluted share	No. (a)	As a % of total Shar es held (b)	No. (No t ap pli ca ble	As a % of total share s held (Not applic	(XIV)
1	Institutions (Domestic)	0	0	0	0	0	0	0	0	0	0	0	capital) (XI)	0	0) (a)	able) (b)	0
a	Mutual Funds	3	17792 45	0	0	177924 5		17792 45		177 924 5	2.55	0	2.54	0	0			1779245
	HDFC TRUSTEE COMPANY LTD A/C - HDFC CHILDREN'S GIF	1	13972 29	0	0	139722 9	2	13972 29	0	139 722 9	2.01	0	2	0	0			1397229
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
С	Alternate Investment Funds	3	39422 09	0	0	394220 9	5.64	39422 09		394 220 9	5.66	0	5.64	0	0			3942209

	MARATHON EDGE INDIA		38526 39	0	0	385263 9	5.51	38526 39	0	385 263	5.53	0	5.51	0	0	3852	2639
	FUND I									9							
d	Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
f	Provident Funds/ Pension	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Funds																
g	Asset reconstruction companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
h		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
i	NBFCs registered with RBI	1	30000	0	0	30000	0.04	30000	0	300 00	0.04	0	0.04	0	0	3000	00
j	Other Financial Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
k	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub-Total (B)(1)	7	57514 54	0	0	575145 4	8.22	57514 54	0	575 145 4	8.26	0	8.22	0	0	5751	454
2	Institutions (Foreign)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Foreign Direct Investment	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
b	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

d	Foreign Portfolio Investors Category I	53	91921 03	0	0	919210	13.14	91921 03	1	919 210 3	13.2	0	13.14	0	0	9192103
	MASSACHU SETTS INSTITUTE OF TECHNOLO GY	1	29042 47	0	0	290424 7	4.15	29042 47	1	290 424 7	4.17	0	4.15	0	0	2904247
	MALABAR INDIA FUND LIMITED	1	16137 23	0	0	161372 3	2.31	16137 23	•	161 372 3	2.32	0	2.31	0	0	1613723
	GOLDMAN SACHS FUNDS - GOLDMAN SACHS INDIA EQUITY P	1	26787 86	0	0	267878 6	3.83	26787 86		267 878 6	3.85	0	3.83	0	0	2678786
e	Foreign Portfolio Investors Category II	5	59270 3	0	0	592703	0.85	59270 3	1 1	592 703	0.85	0	0.85	0	0	592703
f	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
g	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	\ 1	58	97848 06	0	0	978480 6	13.99	97848 06		978 480 6	14.05	0	13.99	0	0	9784806
3	Central Government /	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

	la .	1				1		1			T .					1
	State															
	Government(s	S														
a	Central	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Government /															
	President of															
	India															
b	State	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
U	1	U	U	U	U	U	U	U	U	U	U	U	U	U		U
	Government /															
	Governor	-	_	_	_	-	_	-		_	_	_	_			_
c	Shareholding		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	by Companies	8														
	or Bodies															
	Corporate															
	where Central															
	/ State															
	Government															
	is a promoter															
	Sub-Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(B)(3)															
4	Non-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	institutions											ŭ				
a	Associate	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	companies /											O .		O		
	Subsidiaries															
b	Directors and	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
U	their relatives		U	U	U	U	U	U	U	U	U	U	O	U		U
	(excluding															
	independent															
	directors and															
	nominee															
	directors)	-	0.7.7.5	0		0.70.70.7	1.00	0.70.7-		0.55	1.00		1.00	^		0.50.50.5
c	Key	5	85359	O	0	853596	1.22	85359		853	1.23	0	1.22	0	0	853596
	Managerial		6					6		596						
	Personnel															
d	Relatives of	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	promoters															

	(other than "Immediate Relatives" of promoters disclosed under "Promoter and Promoter Group" category)								0		0			0			
е	Trusts where any person belonging to "Promoter and Promoter Group" category is "trustee", "beneficiary", or "author of the trust"	O	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
f	Investor Education and Protection Fund (IEPF)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0)
g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs		47		0	959794 7		95979 47		794 7		0		0	0	9	9596147
h	Resident Individuals holding nominal share capital in excess of Rs.	45	28717 17	0	0	287171 7	4.11	28717 17	0	287 171 7	4.12	0	4.11	0	0	2	2871717

	2 lakhs														
	MUKUL	1	10000	0	0	100000	1.43	100000		1.44	0	1.43	0	0	1000000
	MAHAVIR AGRAWAL		00			0		00	000						
i	Non Resident Indians (NRIs)	2151	11468 13	0	0	114681	1.64	11468 0 13	114 681 3	1.65	0	1.64	0	0	1125213
j	Foreign Nationals	1	600	0	0	600	0	600 0	600	0	0	0	0	0	600
k	Foreign Companies	1	60	0	0	60	0	60 0		0	0	0	0	0	60
1	Bodies Corporate	286	79761 4	0	0	797614	1.14	79761 0 4	797 614	1.15	0	1.14	0	0	797614
m	Any Other (specify)	1324	26476 9	0	0	264769	0.38	26476 ₀	264 769	0.38	0	0.38	0	0	264769
	Clearing Members	21	6917	0	0	6917	0.01	6917 0	691 7	0.01	0	0.01	0	0	6917
	HUF	1300	25517 8	0	0	255178	0.36	255170 8	255 178	0.37	0	0.36	0	0	255178
	Trusts	3	2674	0	0	2674	0	2674 0	267 4	0	0	0	0	0	2674
	Sub-Total (B)(4)	94714	15533 116	0	0	155331 16	22.2	15533 0 116	155 331 16	22.3	0	22.2	0	0	15509716
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) +(B)(4)	94779	31069 376	0	0	310693 76	44.41	310690 376	310 693 76	44.6	0	44.41	0	0	31045976

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	No. of shareh older (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	ea	ach class	of secu IX)	Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g, as a % assuming full conversion of convertible securities (as a percentage	Lock sha	As a % of total Shar es	Sh pled othe encu	nber of pares liged or erwise mbered XIII) As a % of total share s held	Number of equity shares held in dematerializ ed form (XIV) (Not Applicable)
								X	Y	al			of diluted share capital) (XI)		held	ca ble)	(Not applic able)	
1	Custodian/DR Holder	0	0	0	0	0		0	0	0	0	0		0	0			0
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021	1	30002	O	0	300025	0.43	0	0	0	0	0	0.43	0	0			300025
	Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	1	30002 5	0	0	300025		0	0	0	0	0		0	0			300025

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign	Nationality	Details of holding/ ex	Date of creation / acquisition of				
		national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,						
outstanding shares held in demat/unclaimed s	uspense account, voting rights which are frozen etc.					
No. of shareholders	No of share held					

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,						
outstanding shares held in demat/unclaimed s	uspense account, voting rights which are frozen etc.					
No. of shareholders	No of share held					

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding							
Name of shareholder	Name of PAC	No. of share	Holding %				